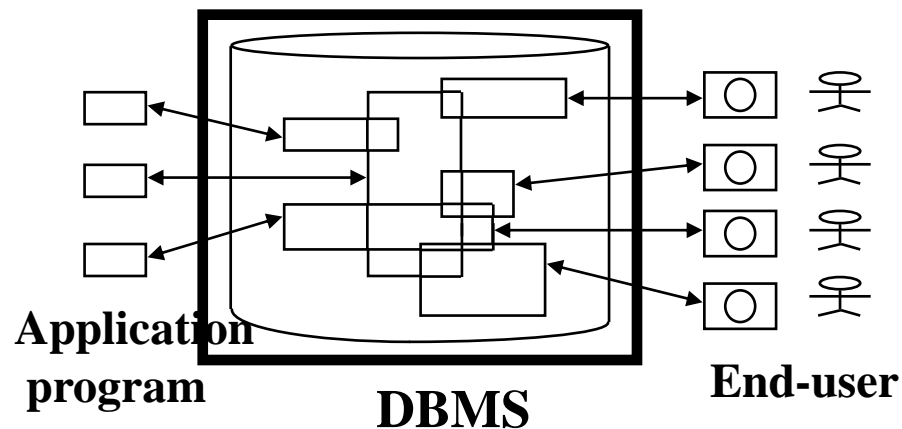


# Unit 1

## Introduction to DBMS

### (Database Management Systems)



# Outline of Unit 1

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1.1 Information Systems

1.2 An Overview of a Database System

1.3 An Architecture for a Database System

1.4 Database Technology Trends

# **1.1 Information Systems**

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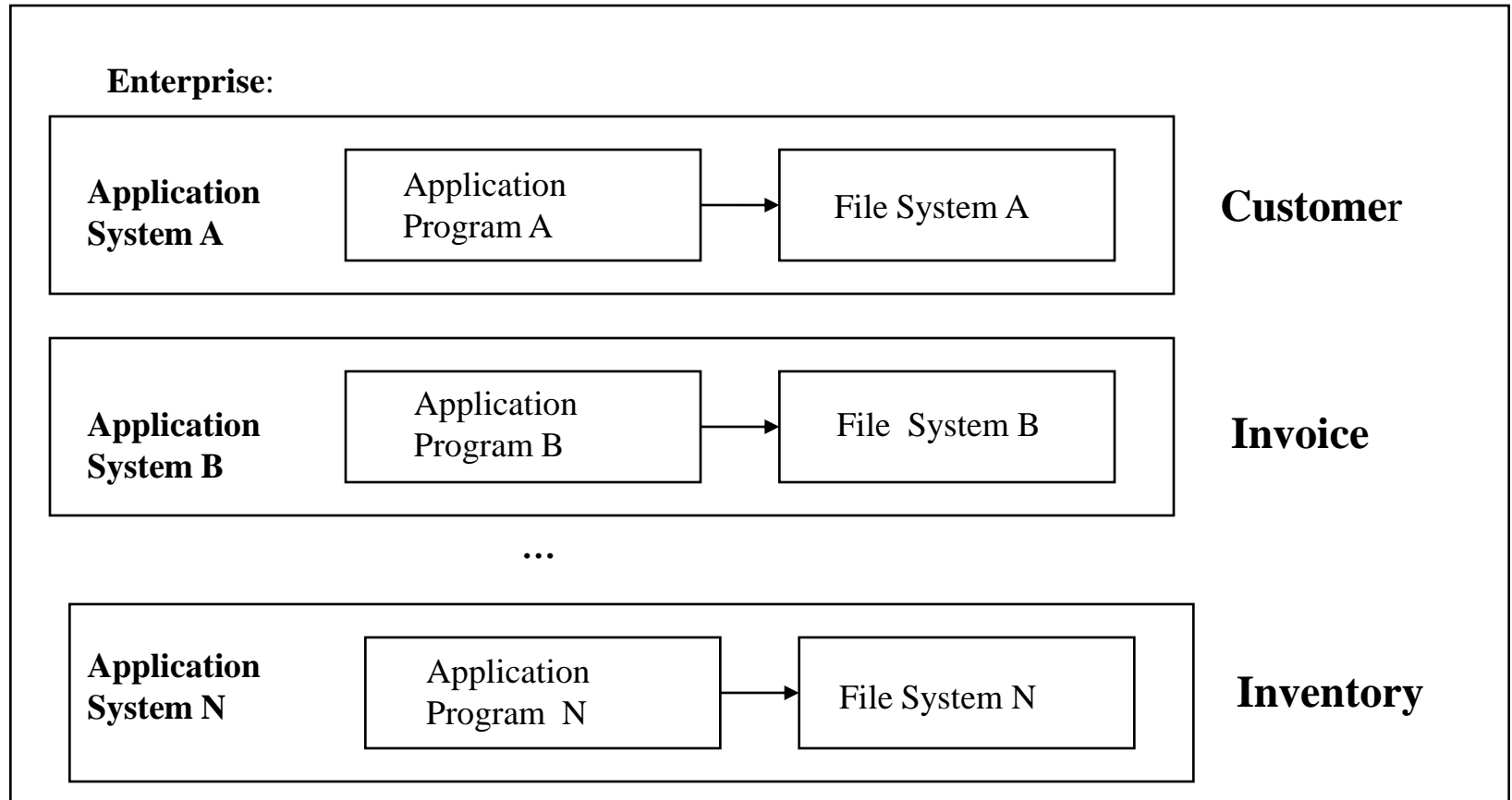
# Stages of Information System

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- Stage 0: Manual Information System
  - Records
  - Files
  - Index Cards
- Stage 1: Sequential Information Systems
  - Tapes
  - Files
  - slow, non-interactive, redundancy,...
- Stage 2: File Based Information Systems
  - Disk (direct access)
  - application program has its own file  $\Rightarrow$  data dependence
  - data redundancy
- Stage 3: DBMS based Information Systems
  - Generalized data management software
  - Transaction processing

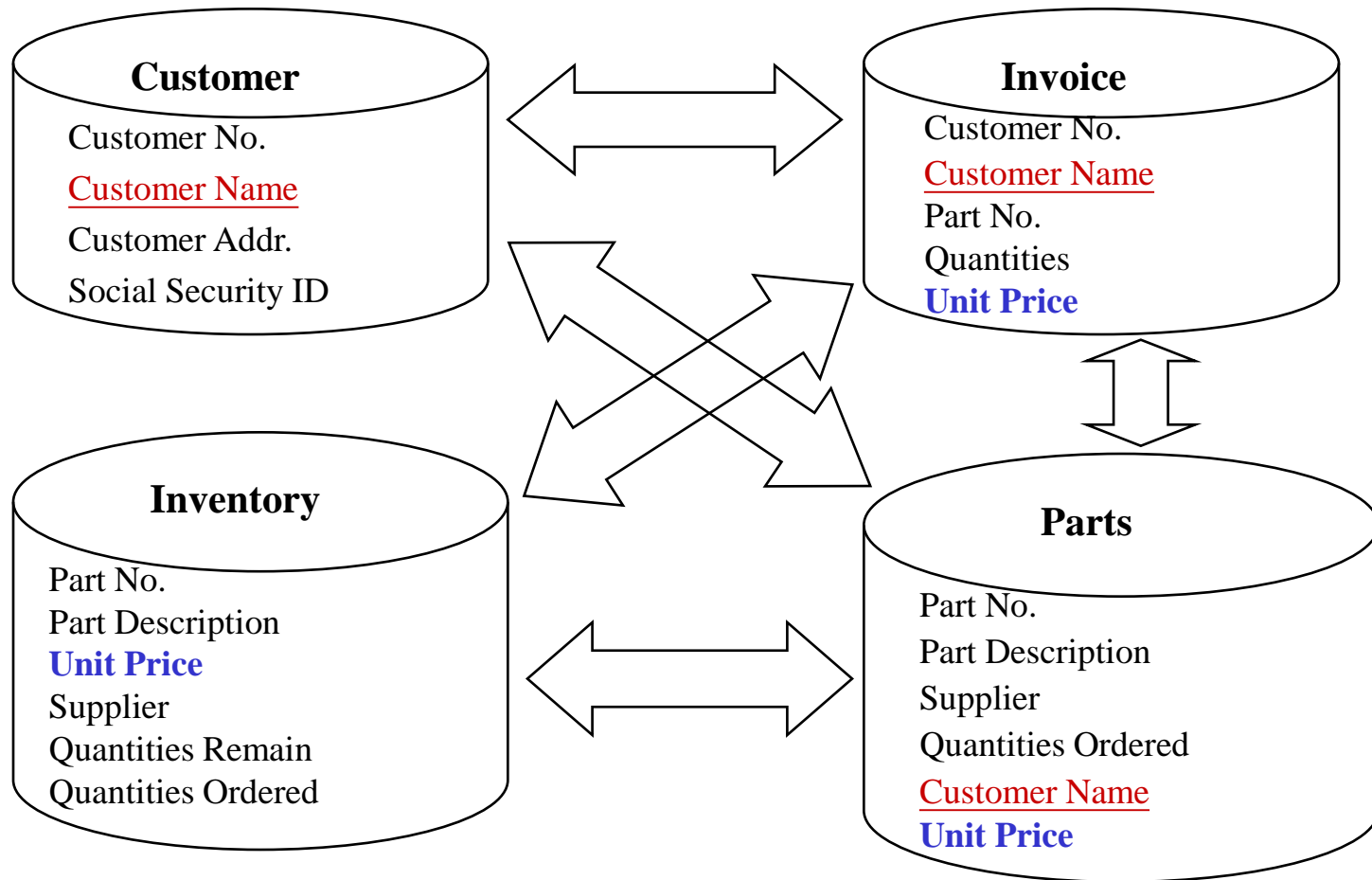
# File Based Information Systems

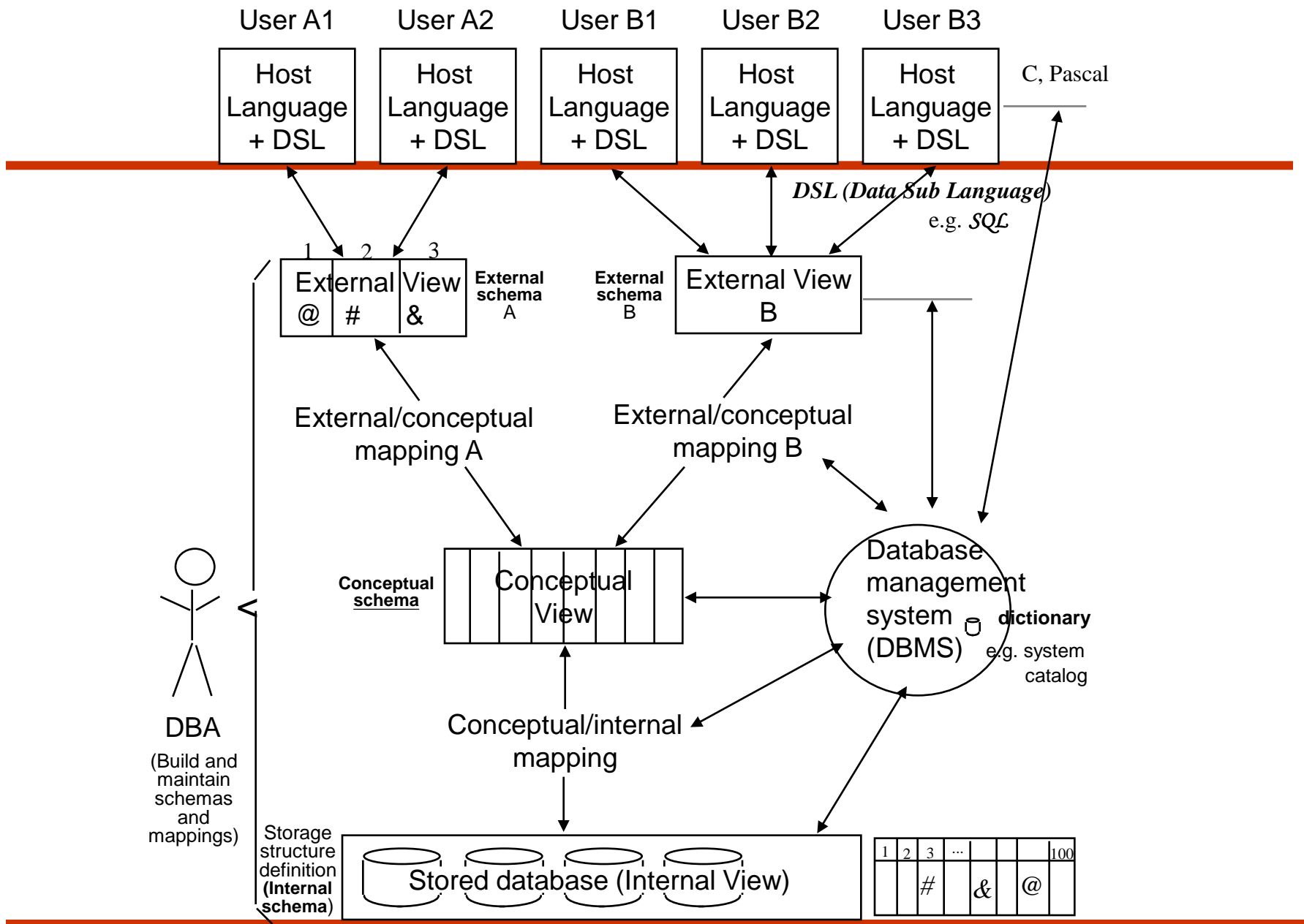
- Conventional **Data Processing** techniques:



# File Based Information Systems (cont.)

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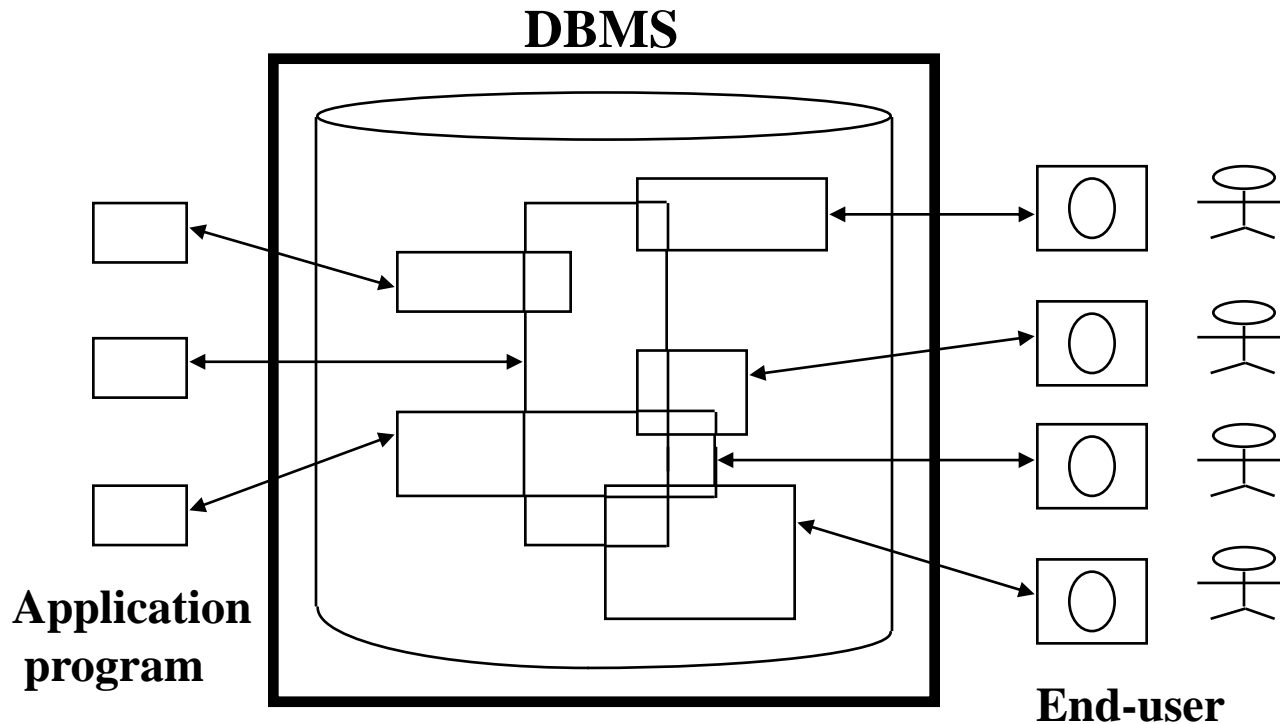




# DBMS based Information Systems:

## Basic Approach - Integration

- (1) Integration of Information
  - Description of the *integrated view* of data is the "Conceptual Schema" of the database





# DBMS based Information Systems:

## **Basic Approach – Simple views and High level language**

- (2) Provide simple views (External Schema) and high level language (e.g. SQL) for users to manipulate (handle) data

- High level language: e.g. **SQL** (Structured Query Language)

<e.g.>: `SELECT SNAME  
FROM S  
WHERE S#='S4';`

- Description of user's view of data is the "external schema" or "subschema" or "view".

- High-level languages (Query Language): **SQL**

(1) Data Definition Language:  
define format

(2) Data Manipulation Language:  
retrieve, insert, delete, update

- Emphasize: *EASE OF USE !!*

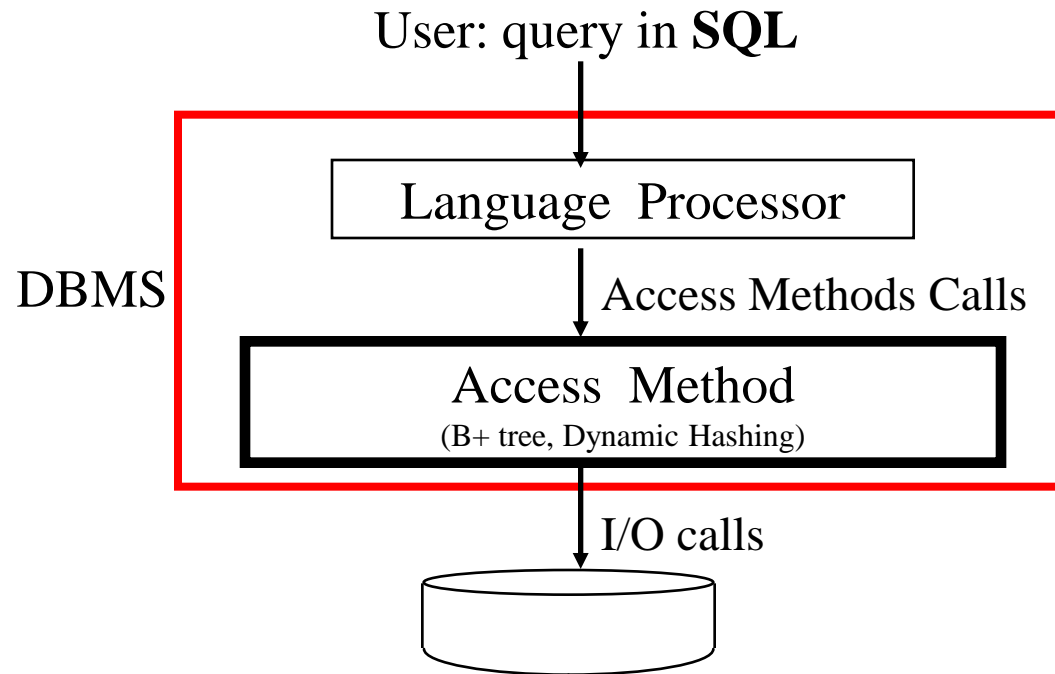
S

S#	name		

# DBMS based Information Systems: Basic Approach - Storage/Access Method

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- (3) Efficient Storage/Access Techniques:
  - implemented once rather than duplicated in all application programs.



# DBMS based Information Systems: **Basic Approach - Transaction Management**

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- (4) Provide Transaction Management:
  - Concurrency Control
  - Recovery
  - Security
  - ⋮

# Example: A Simple Query Processing

Query in SQL :

```
SELECT CUSTOMER. NAME
FROM CUSTOMER, INVOICE
WHERE REGION = 'N.Y.' AND
      AMOUNT > 10000 AND
      CUTOMER.C#=INVOICE.C
```

Internal Form :

$\Pi(\sigma(S \bowtie SP))$

Operator :

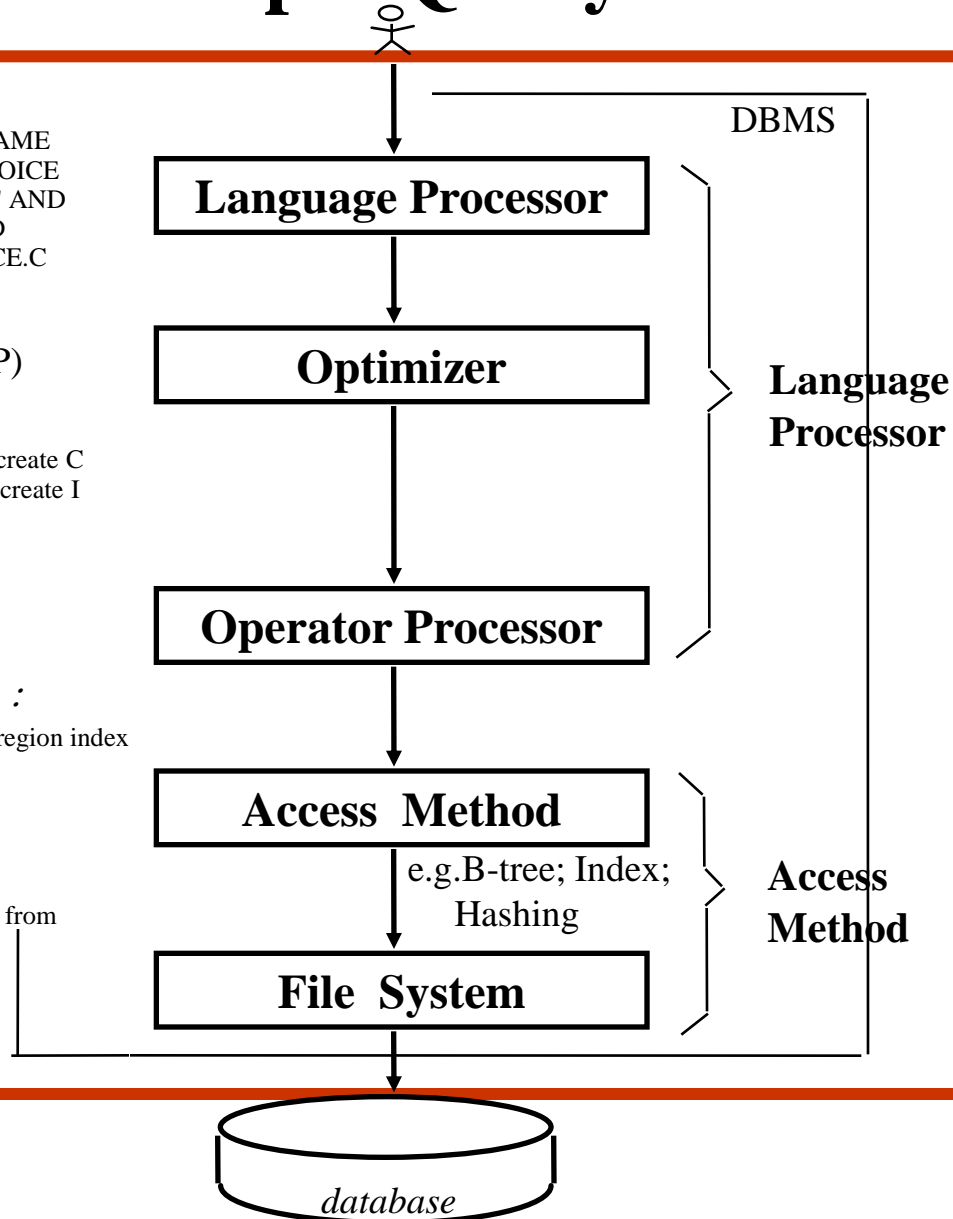
```
SCAN C using region index, create C
SCAN I using amount index, create I
SORT C?and I?on C#
JOIN C?and I?on C#
EXTRACT name field
```

Calls to Access Method :

```
OPEN SCAN on C with region index
GET next tuple
:
:
```

Calls to file system :

```
GET 10th to 25th bytes from
block #6 of file #5
```



# **1.2 An Overview of a Database System**

---

# An Example

## □ The Wine Cellar Database:

Cellar:

Bin	Wine	Producer	Year	Bottle	Ready	Comments
2	<i>Chardonnay</i>	<i>Buena Vista</i>			83	1
3	Chardonnay	Louis Martini	81		5	84
6	Chardonnay	Chappellet	82	4	85	Thanksgiving
12	Jo. Riesling	Buena Vista	82	1		83 Late
16	Jo. Riesling	Sattui	82		1	83 Very
43	Cab. Sauvignon	Robt. Mondavi	77	12		87
50	<i>Pinot Noir</i>	<i>Mirassou</i>	77		3	85
51	Pinot Noir	Ch. St. Jean	78		2	86

## □ Retrieval:

- DML (Data Manipulation Language):

```
SELECT Wine, Bin, Producer
FROM Cellar
WHERE Ready = 85;
```

- Result:

Wine	Bin	Producer
Chardonnay	2	Buena Vista
Chardonnay	6	Chappellet
Pinot Noir	50	Mirassou

# An Example (cont.)

## □ Deletion:

- DML: **DELETE FROM Cellar WHERE Ready < 86;**

- Result:

Bin	Wine	Producer	Year	Bottle	Ready	Comments
43	Cab. Sauvignon	Robt. Mondavi	77	12	87	
51	Pinot Noir	Ch. St. Jean	78		2	86

## □ Insertion:

- DML: **INSERT INTO Cellar VALUES (53, 'Pinot Noir', 'Franciscan', 79, 1, 86, 'for Joan');**

- Result:

Bin	Wine	Producer	Year	Bottle	Ready	Comments
43	Cab. Sauvignon	Robt. Mondavi	77	12	87	
51	Pinot Noir	Ch. St. Jean	78		2	86
53	Pinot Noir	Franciscan	79		1	86 for Joan

# An Example (cont.)

---

## □ Update

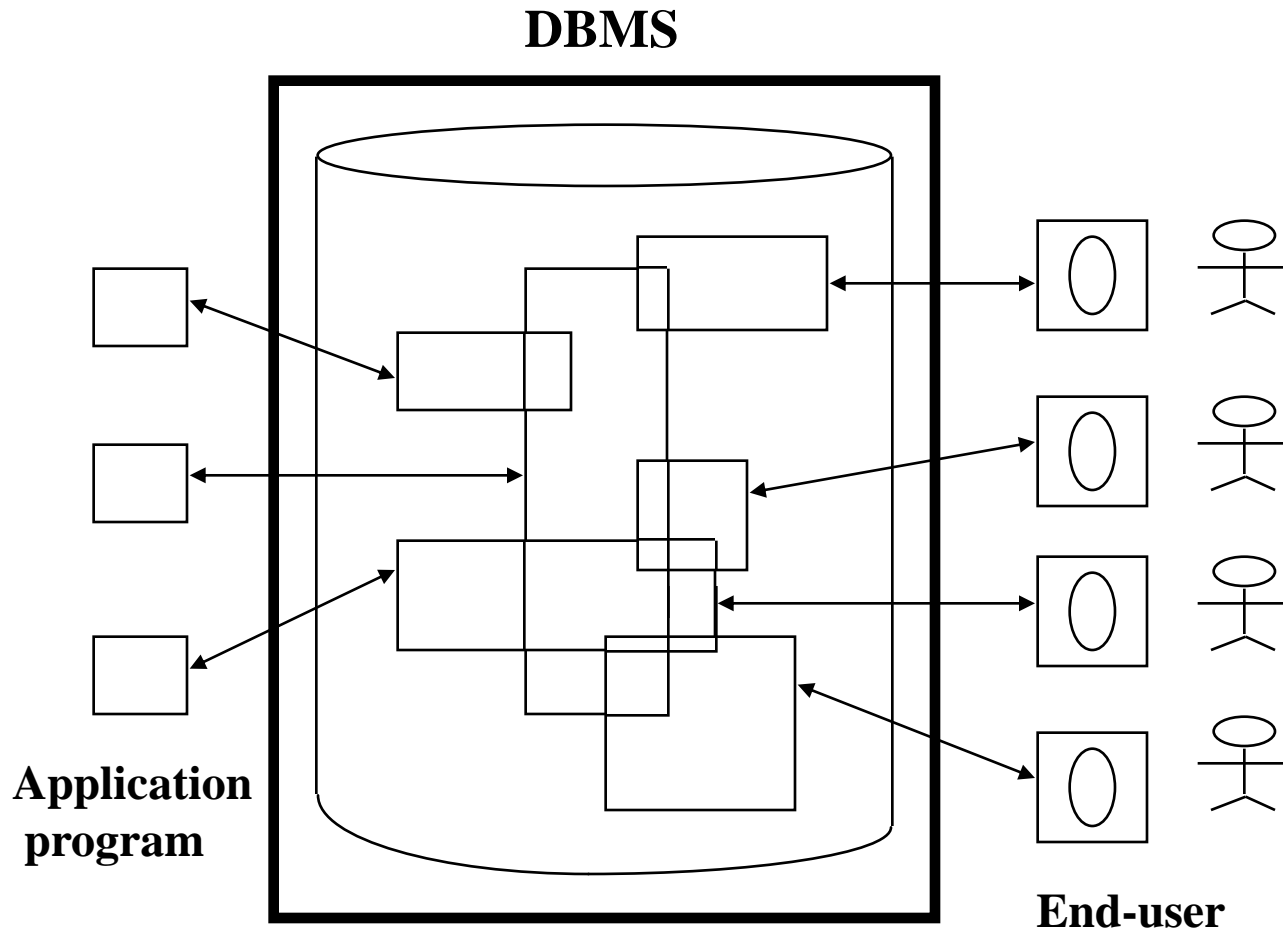
- DML: UPDATE Cellar  
SET Bottles = 4  
WHERE Bin = 51;
- Result:

Bin	Wine	Producer	Year	Bottle	Ready	Comments
43	Cab. Sauvignon	Robt. Mondavi	77	12	87	
51	Pinot Noir	Ch. St. Jean	78	4		86
53	Pinot Noir	86	Franciscan for Joan		79	



# What is a Database System?

---



# What is a Database System? (cont.)

---

- Major components of a database system:
  - Data: integrated and shared.
  - Hardware: disk, CPU, Main Memory, ...
  - Software: DBMS
  - Users:
    1. Application programmers
    2. End users
    3. *Database administrator (DBA)*
      - Defining external schema
      - Defining conceptual schema
      - Defining internal schema
      - Liaison with users
      - Defining security and integrity checks
      - Defining backup and recovery procedures
      - Monitoring performance and changing requirements

# Why Database ?

---

- ❑ Redundancy can be reduced
- ❑ Inconsistency can be avoided
- ❑ The data can be shared
- ❑ Standards can be enforced
- ❑ Security restrictions can be applied
- ❑ Integrity can be maintained
- ❑ Provision of data independence



objective !

# Data Independence

---

- ❑ Application Program
  - ➔ Data Structure
- ❑ Immunity of application to change in storage structure and access strategy.

# Data Dependence vs. Data Independence

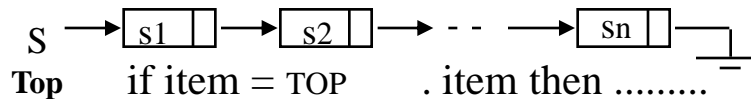
□ Data Dependent

e.g. `SELECT CITY  
FROM S  
WHERE ITEM = 'X';`

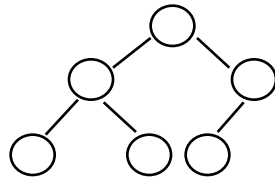
S

S#			
S <sub>1</sub>			
S <sub>2</sub>			
⋮			
S <sub>n</sub>			

- **Linked list:** TOP

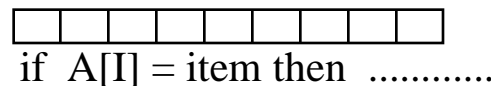


- **Tree:**



if item < root.data then root := root .left .....

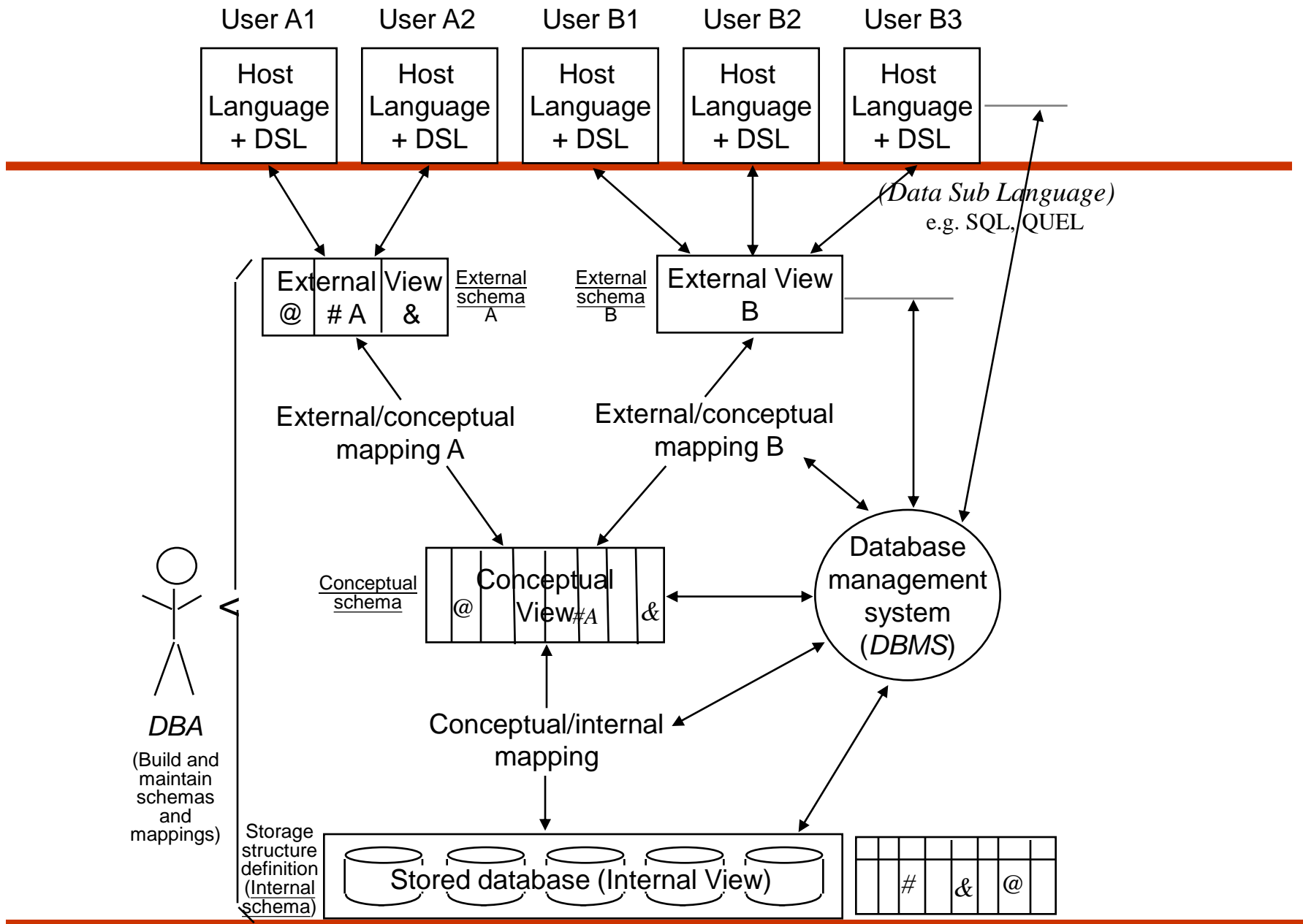
- **Array:**



- **Storage structure changed → program changed**

# **1.3 An Architecture for a Database System**

---



# An Example of the Three Levels

---

## □ Internal level:

```
STORED_EMP    length = 18
  PREFIX      TYPE = BYTE(6), OFFSET = 0, INDEX = EMPX
  EMP#        TYPE = BYTE(6), OFFSET=0,
  DEPT#       TYPE = BYTE(4),  OFFSET = 12
  PAY         TYPE = FULLWORD, OFFSET = 16
```

## □ Conceptual level:

```
EMPLOYEE
  EMPLOYEE_NUMBER    CHARACTER(6)
  DEPARTMENT_NUMBER  CHARACTER(4)
  SALARY              NUMERIC(5)
```

## □ External level:

- ( PL /I )  
DCL 1 EMP  
2 EMP# CHAR(6)  
2 SAL FIXED BIN(31)
- (COBOL)  
01 EMPC  
02 EMPNO PIC X(4)  
02 DEPTNO PIC X(4)



# Functions of the DBMS

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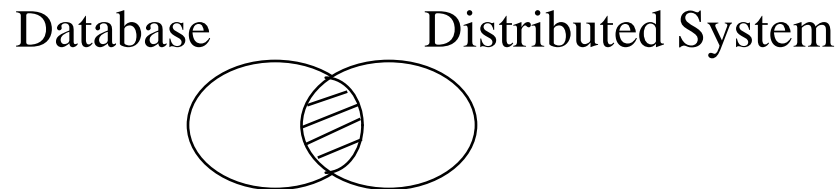
- ❑ Data Definition Language (DDL)
- ❑ Data Manipulation Language (DML)
- ❑ Data Security and Integrity
- ❑ Data Recovery and Concurrency
- ❑ Data Dictionary
- ❑ Performance

# 1.4 Database Technology Trends

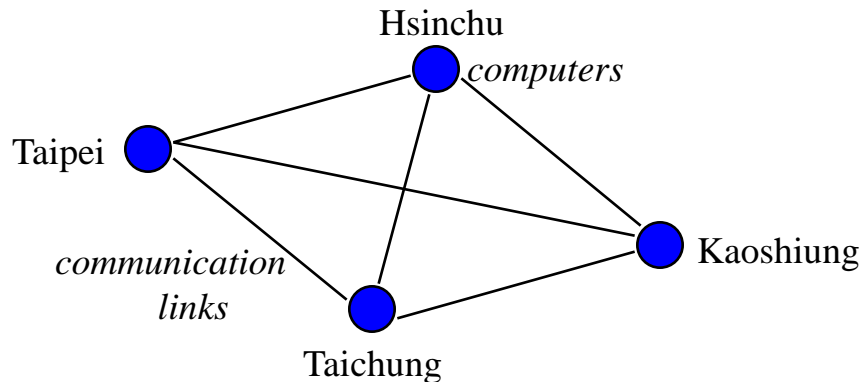
	1960s to Mid-1970s	1970s to Mid-1980s	Late 1980s	Future
<b>Data Model</b>	Network Hierarchical	Relational	Semantic Object-oriented Logic	Merging data models, knowledge representation, and programming languages
<b>Database Hardware</b>	Mainframes	Mainframes Minis PCs	Faster PCs Workstations Database machines	Parallel processing Optical memories
<b>User Interface</b>	None Forms	Query languages - SQL, QUEL	Graphics Menus Query-by-forms	Natural language Speech input
<b>Program Interface</b>	Procedural	Embedded query language	4GL Logic programming	Integrated database and programming language
<b>Presentation and display processing</b>	Reports Processing data	Report generators Information and transaction processing	Business graphics Image output Knowledge processing	Generalized display managers Distributed knowledge processing

# Distributed Databases

---



- Distributed database is a database that is not stored in its entirety at a single physical location, but rather is spread across a network of computer.  
< e.g.>



# Distributed Databases (cont.)

---

- Advantages:

- efficiency of local processing
- data sharing

- Disadvantages:

- communication overhead
- implementation difficulties

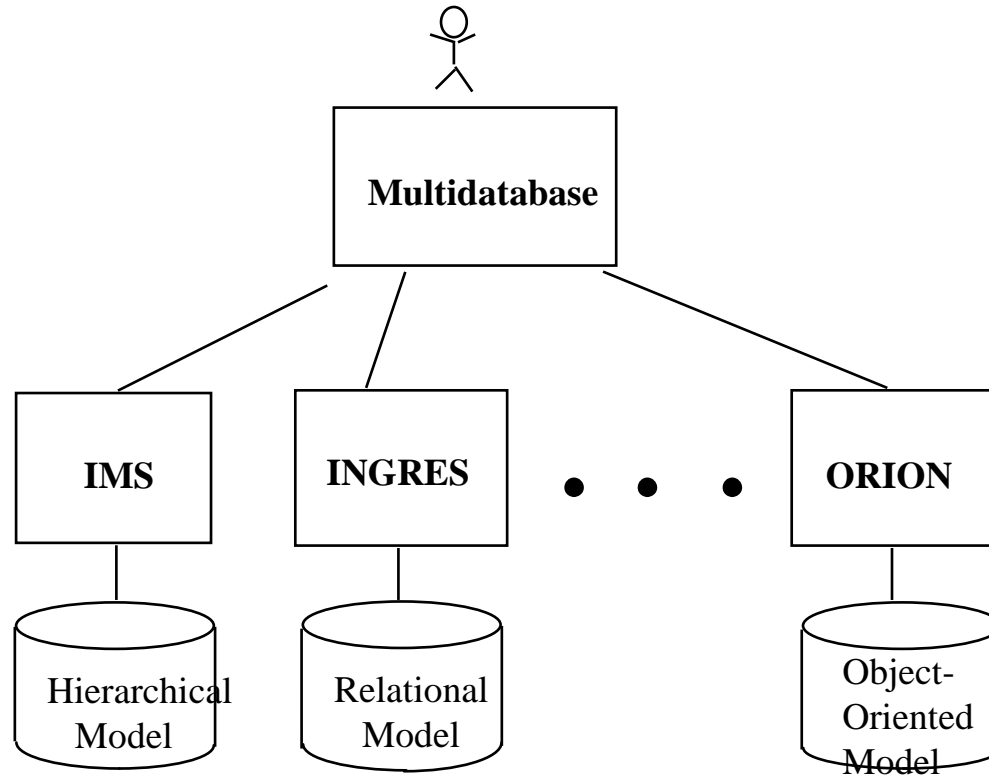
- Reference:

**S. Ceri and G. Pelagatti**

**"Distributed Databases: principles and systems"**

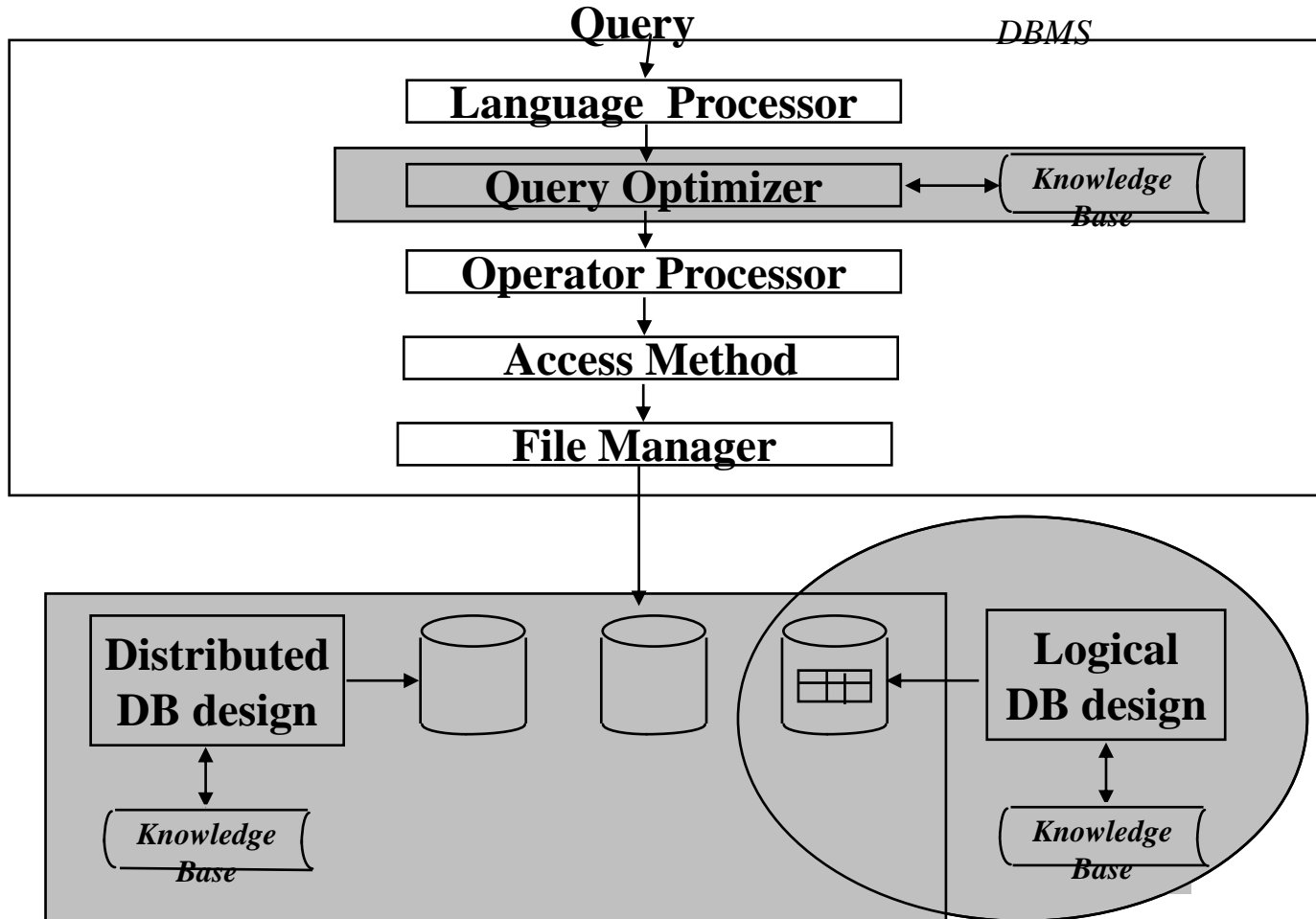
# Multi-Database/Heterogeneous Database

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- semantic inconsistency
- data incompleteness
- global schema

# DB + AI



- A Combined Model :

Logic Programming + Relational DB

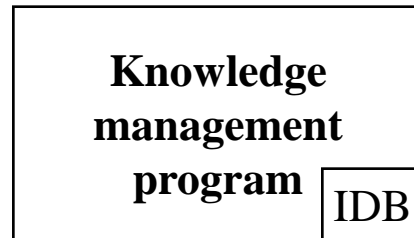
- Three layers :



Query :

? :- ancestor (taro, Y)

? :- grandfather (?, c)



IDB:

ancestor(X,Y):- parent(X,Y)

ancestor(X,Y) :- parent(X,Z), ancestor(Z,Y)

parent(X,Y):-edb(father(X,Y))

parent(X,Y):-edb(mother(X,Y))

grandfather(X,Z):- father(X,Y) ^ father(Y,Z)

**relational interface**



EDB:

fathe

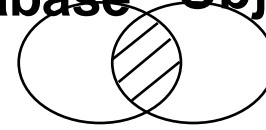
father	son
A	B
X	Y
.	.
B	C

mother

.	
---	--

# OODB

## Database Object-Oriented



- A typical Document : MEMO [Woelk86, SIGMOD]

**MCC**  
To: W. Kim  
From: D. Woolk  
Date: September 18, 1992  
Subject: Workstations

In the computer center of National Chiao-Tung University, there are a lot of workstations. There are HP RS serials, SUNs, Apollo, and so on. The students in NCTU learn to use workstation since they are freshmen. The configuration of the workstations follows:

```
graph TD; W1[Workstation] --- DS[Database Server]; W2[Workstation] --- DS;
```

In the course introduction to Computer Science? students do their homework's on workstations.

*speaker voice message associated* (with arrow pointing to a small circle labeled "can be heard")

} *text*

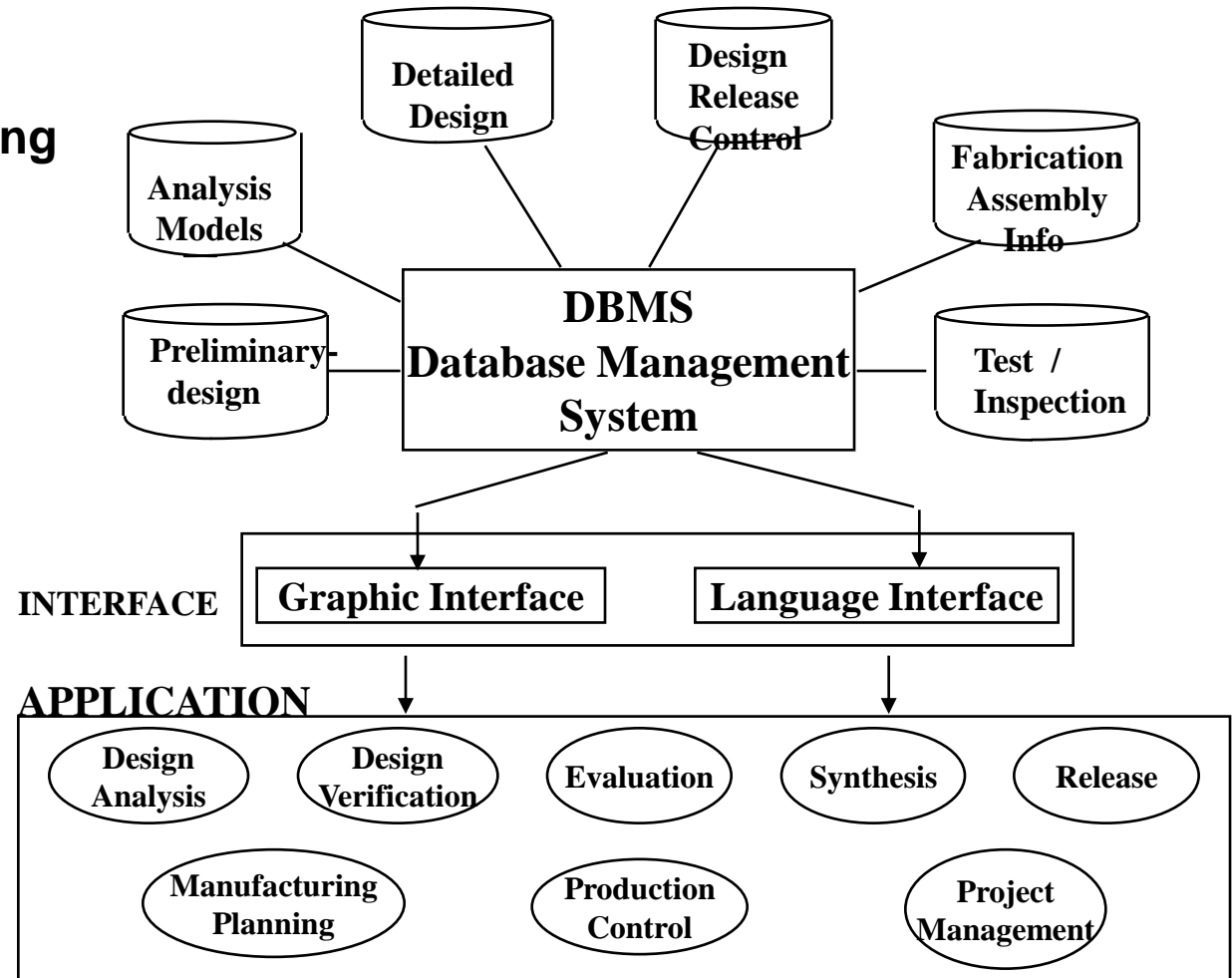
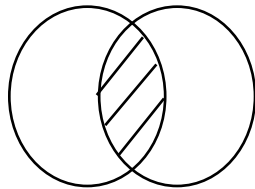
} *graphics*

} *image*

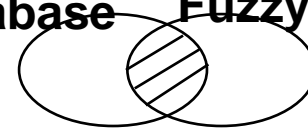


# Use of a Database Management System in Design and Application

Database Manufacturing



# Fuzzy Database



## ■ Fuzzy Query

```
<e.g.>      SELECT      STUDENT.NAME
              FROM        STUDENT
              WHERE       SEX = M
                      AND  HEIGH = TALLER
                      AND  WEIGH = SLIMMER
```

STUDENT:

NAME	SEX	HEIGHT	WEIGHT	IQ
Mary	F	158	55	High
Linda	F	165	55	Medium
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.

```
<e.g.>      SELECT      STUDENT.NAME
              FROM        STUDENT
              WHERE       IQ >= 130
```

# More?

